



Shiksha Mandal's

# GOVINDRAM SEKSARIA COLLEGE OF COMMERCE

Bajaj Marg, Civil Lines, Wardha  
{NAAC (UGC) ACCREDITED INSTITUTION (3<sup>rd</sup> Cycle)}  
{PERMANENTLY AFFILIATED 2 (f) & 12 (B)}  
(A 'Hindi' Linguistic Minority College)



## National Institute of Securities Markets

### NISM-Series-V-A: Mutual Fund Distributors Certification Examination

#### Notice

We are thrilled to announce the commencement of the NISM-Series-V-A: Mutual Fund Distributors Certification Examination module starting from 18th February 2023. This certification is an essential step in becoming proficient in mutual fund distribution and navigating the financial market landscape effectively.

#### Course Details:


- **Module:** The NISM-Series-V-A course is designed to equip participants with the necessary knowledge and skills required to excel in mutual fund distribution.
- **Time:** The offline sessions will be conducted from 1:10 PM to 2:00 PM, while online sessions offer flexible timing starting at 7:00 PM. (Thursday to Saturday)
- **Venue for Offline Classes:** Commerce Lab
- **Platform:** For online classes, please use the provided link [meet.google.com/sru-bqrw-jup](https://meet.google.com/sru-bqrw-jup) to join the sessions.
- **Importance:** This certification is crucial for those aiming to pursue careers in financial services, investment advisory, or mutual fund distribution.


#### Why Enroll?

- Gain comprehensive knowledge of mutual fund distribution principles.
- Enhance your career prospects in the financial services industry.
- Obtain a certification recognized by industry professionals and regulatory bodies.
- Develop essential skills to thrive in the dynamic financial market.

**Action Required:** We urge all interested students to register for the course as soon as possible to secure your spot. Don't miss this opportunity to expand your knowledge and propel your career in the financial sector.

For any inquiries or assistance regarding the course registration process, feel free to contact us.

  
Mr. Kajlesh Jaitwar  
NISM Modules  
Course Coordinator

  
Dr. Anil Ramteke  
Offg. Principal  
Offg. **PRINCIPAL**  
G. S. College of Commerce  
WARDHA

## **Annexure I**

### **NISM-Series-V-A: Mutual Fund Distributors Certification Examination**

#### **Objective of the Examination**

The examination seeks to create a common minimum knowledge benchmark for all persons involved in selling and distributing mutual funds including:

- Individual Mutual Fund Distributors
- Employees of organizations engaged in sales and distribution of Mutual Funds
- Employees of Asset Management Companies specially persons engaged in sales and distribution of Mutual Funds

The certification aims to enhance the quality of sales, distribution and related support services in the mutual fund industry.

On successful completion of the examination the candidate should:

- Know the basics of mutual funds, their role and structure, different kinds of mutual fund schemes and their features
- Understand how mutual funds are distributed in the market-place, how schemes are to be evaluated, and how suitable products and services can be recommended to investors and prospective investors in the market.
- Get oriented to the legalities, accounting, valuation and taxation aspects underlying mutual funds and their distribution.
- Get acquainted with financial planning as an approach to investing in mutual funds, as an aid for mutual fund distributors to develop long term relationships with their clients.

#### **Examination Specifications**

This is a computer-based examination with multiple choice questions.

The examination consists of 100 questions of 1 mark each adding to 100 marks.

The examination should be completed in 2 hours.

There shall be no negative marking.

The passing score for the examination is 50 marks.

### Syllabus Outline with Weightages

<b>Unit No.</b>	<b>Unit Name</b>	<b>Weightage</b>
Unit 1	Concept & Role of a Mutual Fund	6%
Unit 2	Fund Structure & Constituents	4%
Unit 3	Legal & Regulatory Environment	10%
Unit 4	Offer Document	6%
Unit 5	Fund Distribution & Channel Management Practices	8%
Unit 6	Accounting, Valuation & Taxation	10%
Unit 7	Investor Service	12%
Unit 8	Return, Risk & Performance of Funds	10%
Unit 9	Mutual Fund Scheme Selection	10%
Unit 10	Selecting the Right Investment Products for Investors	9%
Unit 11	Helping Investors with Financial Planning	7%
Unit 12	Recommending Model Portfolios & Financial Plans	8%



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## National Institute of Securities Markets

**Module:** NISM-Series-V-A: Mutual Fund Distributors Certification Examination

### List of Enrolled Students

**For the Year 2023**

Sr. No.	Name	Whatsapp Number
1	Adarsh Kishorroa Bangade	9284255187
2	Bhakti Mahendra Dhablya	9011186194
3	Diksha Jayant Pande	8390897189
4	Ganeshdhar Hiradhar Dwivedi	902133647
5	Gaurav Dambhare	8308059482
6	Geeta Dnyaneshwar Thakre	8329898708
7	Mohita Murlidhar Jaitwar	9284296719
8	Monika Bhurse	7038387453
9	Nikita Muley	9130363773
10	Nikita Waghmare	7517872070
11	Pallavi Vikramrao Panbude	9075884076
12	Pawan Vinod Wankhede	7264997066
13	Prajakta F.Ambulkar	8698894953
14	Pratik Prakashrao Wankhede	7972085949
15	Reshma Dipakrao Potdukhe	9503312660
16	Rujal prakashrao vighne	8975875774
17	Sakshi Sanjay Nahar	9529429647
18	Shikha Sharma	7888113171
19	Shital mahadevrao Patil	9834172201
20	Shiwani parise	7798693269
21	Shubham Krushnarao Kalbande	9145404370
22	Siddhi	7558598948
23	Snehal Bhanudas Gode	9834451257

24	Suraj Sahare	9657987837
25	Tejas Radheshyam Mundhada	7507129292
26	Vaibhav Sanjay Ashtankar	9764311045
27	Vaishnavi Purohit	7507343781
28	Vaishnavi Rameshrao Shende	9767415886
29	Vaishnavi Sanjay Deotare	7378489527
30	Veena Vijay Chimnani	9175596863



Mr. Kailesh Jaitwar

National Institute of Securities Markets (NISM) Modules  
Course Coordinator



Dr. Anil Ramteke  
Offg. Principal

Offg. PRINCIPAL  
G. S. College of Commerce  
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## National Institute of Securities Markets

Module: NISM-Series-V-A: Mutual Fund Distributors Certification Examination

Following is the Result Sheet

For the Year 2023

Sr. No.	Name of the Student	Class	Percentage Scored
1	Gaurav Dambhare	MBA Final Year	80
2	Pratik Wankhede	MBA Final Year	71
3	Adarsh Bangade	MBA Final Year	65
4	Tejas Mundhada	MBA Final Year	64
5	Reshma Potdukhe	MBA Final Year	63
6	Vaibhav Ashtankar	MBA Final Year	60
7	Pallavi Panbude	MBA Final Year	56
8	Shikha Sharma	MBA Final Year	54
9	Shubham Kalbande	MBA Final Year	54
10	Rakhi Karpate	MBA Final Year	53
11	Pawan Wankhede	MBA Final Year	51
12	Siddhi Bhande	MBA Final Year	50

Mr. Kailash Jaitwar  
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राष्ट्रीय प्रतिभूति बाजार संस्थान  
National Institute of Securities Markets  
प्रमाणपत्र Certificate

NISM

श्री / सुश्री Mr. / Ms. SHIKHA GOPAL SHARMA

( पी.ए.एन. संख्या PAN Number : ODTPS8089H )

( पंजीकरण संख्या Registration Number : NISM-202300029469 )

( नामांकन क्रमांक Enrolment Number : 2300070695 )



ने भारतीय प्रतिभूति और विनिमय बोर्ड (प्रतिभूति बाजार) में सहगुस्त व्यक्तियों का प्रमाणीकरण) विनियम, 2007 के अधीन अपेक्षानुसार  
"रा.प्र.बा.सं.-मूखला-V-ए : पारस्परिक निधि वितरक प्रमाणीकरण परीक्षा" सफलतापूर्वक पूर्ण कर ली है।

has successfully completed the "NISM Series V-A: Mutual Fund Distributors Certification Examination" as required under the SEBI  
(Certification of Associated Persons in the Securities Markets) Regulations, 2007

परीक्षा तिथि Test Date	परीक्षा केंद्र Test Centre	भाषा Language	अंक Marks	टिप्पणियाँ Remarks
March 28, 2023	Nagpur-NSEIT	English	54	PASS

तारीख Date : April 02, 2023

स्थान Place : Mumbai

कब तक मान्य Valid Till : March 27, 2026

सुनिल कदम, रजिस्ट्रार Sunil Kadam, Registrar

For online verification of this NISM Certificate, please login to NISM Skills Registry at <https://certifications.nism.ac.in/nismarskills>

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National Institute of Securities Markets  
प्रमाणपत्र Certificate

NiSM

श्री / सुश्री Mr. / Ms. ADARSH KISHORRAO BANGADE

( पी.ए.एन. संख्या PAN Number : FSDPB7766Q )

( पंजीकरण संख्या Registration Number : NISM-202300029600 )

( नामांकन क्रमांक Enrolment Number : 2300070697 )



ने भारतीय प्रतिभूति और विनियम बोर्ड (प्रतिभूति बाजारों में सहयुक्त व्यक्तियों का प्रमाणीकरण) विनियम, 2007 के अधीन अपेक्षानुसार  
"रा.प्र.बा.सं.-शृंखला-V-ए : पारस्परिक निधि वितरक प्रमाणीकरण परीक्षा" सफलतापूर्वक पूर्ण कर ली है।

has successfully completed the "NISM Series V-A: Mutual Fund Distributors Certification Examination" as required under the SEBI  
(Certification of Associated Persons in the Securities Markets) Regulations, 2007

परीक्षा तिथि Test Date	परीक्षा केंद्र Test Centre	भाषा Language	अंक Marks	टिप्पणियाँ Remarks
March 28, 2023	Nagpur-NSEiT	English	65	PASS

तारीख Date : March 31, 2023

स्थान Place : Mumbai

कब तक मान्य Valid Till : March 27, 2026

सुनिल कदम, रजिस्ट्रार Sunil Kadam, Registrar

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राष्ट्रीय प्रतिभूति बाज़ार संस्थान  
National Institute of Securities Markets  
प्रमाणपत्र Certificate

NiSM

श्री / सुश्री Mr. / Ms. TEJAS RADHESHYAM MUNDHADA

( पी.ए.एन. संख्या PAN Number : FSAPM4969P )

( पंजीकरण संख्या Registration Number : NISM-202300029311 )

( नामांकन क्रमांक Enrolment Number : 2300070692 )



ने भारतीय प्रतिभूति और विनियम बोर्ड (प्रतिभूति बाजारों में सहयुक्त व्यक्तियों का प्रमाणीकरण) विनियम, 2007 के अधीन अपेक्षानुसार

"रा.प्र.बा.सं.-शृंखला-V-ए : पारस्परिक निधि वितरक प्रमाणीकरण परीक्षा" सफलतापूर्वक पूर्ण कर ली है।

has successfully completed the "NISM Series V-A: Mutual Fund Distributors Certification Examination" as required under the SEBI  
(Certification of Associated Persons in the Securities Markets) Regulations, 2007

परीक्षा तिथि Test Date	परीक्षा केंद्र Test Centre	भाषा Language	अंक Marks	टिप्पणियाँ Remarks
March 28, 2023	Nagpur-NSEiT	English	64	PASS

तारीख Date : April 01, 2023

स्थान Place : Mumbai

कब तक मान्य Valid Till : March 27, 2026

सुनिल कदम, रजिस्ट्रार Sunil Kadam, Registrar

For online verification of this NISM Certificate, please login to NISM Skills Registry at <https://certifications.nism.ac.in/nismskills>